

# On the generalized Ramanujan-Nagell equation $x^2 + D = p^z$

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October 12, 1998

## Abstract

Let  $p \in \{3, 23\}$  and  $D \in \mathbb{N}$  such that  $p \nmid D$  and  $D > p$ . We prove in this paper that the diophantine equation

$$x^2 + D = p^z, \quad x, z \in \mathbb{N}$$

has at most one solution  $(x, z)$ . Moreover, we give an explicit upper bound for  $z$ .

## 1 Introduction

For  $D \in \mathbb{N}$  and  $p$  an odd prime with  $p \nmid D$  we denote the number of solutions of the generalized Ramanujan-Nagell equation

$$x^2 + D = p^z, \quad x, z \in \mathbb{N} \tag{1}$$

by  $N(D, p)$ .

In 1960, APÉRY [1] showed that for squarefree  $D$ , the number of solutions is bounded by  $N(D, p) \leq 2$ . This bound is sharp: If

$$D = 3s^2 \pm 1, \quad p = 4s^2 \pm 1, \quad s \in \mathbb{N},$$

— in which case  $(D, p)$  is called exceptional —, (1) has the two solutions  $(x, z) = (s, 1)$  and  $(8s^3 \pm 3s, 3)$ , hence  $N(D, p) = 2$ .

No examples of non-exceptional  $(D, p)$  are known such that  $N(D, p) \geq 2$ , so we might conjecture that for all non-exceptional  $(D, p)$ , we have  $N(D, p) = 1$ . The second author proved [5, 6] this conjecture for  $\max(D, p) > 10^{10^{192}}$ .

In this paper we shall verify the conjecture for  $p = 3$  and  $p = 23$ :

**Theorem 1.1.** *Let  $D \in \mathbb{N}$  and  $p \in \{3, 23\}$  with  $p \nmid D$  and  $2 \neq D$  if  $p = 3$  and  $D \neq 7, 14, 19, 22$  if  $p = 23$ . Then the equation (1) has at most one solution  $(x, z)$ . Moreover, this solution satisfies*

$$z < \frac{4}{\pi} \sqrt{D} \log(2e\sqrt{D}). \tag{2}$$

Besides a result of TZANAKIS and WOLFSKILL [11], we shall use a series of papers of the second author. Since some formulations in these papers are ambiguous and misleading, we prefer to reformulate and reprove these results in a version suitable for our purposes. In order to make the exposition clear, we try to be rather explicit.

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\*Supported by the National Natural Science Foundation of China and Guangdong Provincial Natural Science Foundation

## 2 Binary quadratic forms

Let  $a, b, c \in \mathbb{Z}$  and  $D := b^2 - 4ac$ . Then the form  $F \in \mathbb{Z}[X, Y] = \mathbb{Z}[\mathbf{X}]$

$$F = aX^2 + 2bXY + cY^2 = \mathbf{X}^t \begin{pmatrix} a & b \\ b & c \end{pmatrix} \mathbf{X}$$

is called *binary quadratic form* with *discriminant*  $4D$ . For short, we write  $F = \{a, 2b, c\}$ .  $F$  is called *primitive*, if  $\gcd(a, 2b, c) = 1$ .

In the literature, binary quadratic forms are often introduced as  $F = aX^2 + bXY + cY^2$  with  $a, b, c \in \mathbb{Z}$ , where the discriminant is defined as  $b^2 - 4ac$ , so yielding the same as in our notation, which restricts us to the case of an even discriminant. However, this notation will be enough for us.

Two binary quadratic forms  $F = \mathbf{X}^t \mathbf{A} \mathbf{X}$  and  $G = \mathbf{X}^t \mathbf{B} \mathbf{X}$  are called *equivalent*, written  $F \sim G$ , if there exists a Matrix  $\mathbf{T} \in \text{SL}(2, \mathbb{Z})$ , i. e. a matrix  $\mathbf{T}$  with  $\det \mathbf{T} = 1$ , such that

$$\mathbf{A} = \mathbf{T}^t \mathbf{B} \mathbf{T}.$$

It is clear that this relation is an equivalence relation. Equivalent forms have the same discriminant, they are both primitive or not.

**Theorem 2.1.** *Let  $\sim$  be the equivalence relation introduced above. Then the set of binary quadratic forms of discriminant  $4D$  is partitioned into a finite number of equivalence classes. The number of classes of primitive binary quadratic forms of discriminant  $4D$  is denoted by  $h(4D)$ .*

*Proof.* See for example HUA [4], Theorem 12.2.2. □

**Proposition 2.2.** *Let  $D \leq -1$ . Then the number of classes of primitive binary quadratic forms of discriminant  $4D$  can be bounded by*

$$h(4D) \leq \frac{4\sqrt{|D|}}{\pi} \log(2e\sqrt{|D|}).$$

*Proof.* Combine HUA [4], Theorems 12.10.1, 12.14.3, and 11.4.3. For  $D = -1$ , see for example Exercise 2 at the end of 12.2 of [4]. □

## 3 Representations and characteristic numbers

Let  $F$  be a binary quadratic form. If  $K, x, y \in \mathbb{Z}$  and

$$K = F(x, y) \quad \gcd(x, y) = 1, \tag{3}$$

then (3) is called a *representation* of  $K$ .

In this section, we describe some properties of such representations. We follow LE [7].

**Lemma 3.1.** *Let  $K = F(x, y)$  be a representation. Then there exists a unique  $L \in \mathbb{Z}$  such that there are  $\alpha, \beta \in \mathbb{Z}$  satisfying*

$$\begin{aligned} \beta x - \alpha y &= 1 \\ L &= \alpha(ax + by) + \beta(bx + cy), \quad L^2 \equiv D \pmod{K}, \quad 0 \leq L < |K|. \end{aligned}$$

*It is called the characteristic number of the representation, we denote it by  $L(F, x, y)$ .*

*Proof.* See HUA [4], Theorem 4.1. □

**Lemma 3.2.** *Let  $F$  be a binary quadratic form of discriminant  $4D$ ,  $K = F(x, y)$  a representation and  $L = L(F, x, y)$  its characteristic number. Put  $K' = (L^2 - D)/K$ . Then the form  $F$  is equivalent to the form  $\{K, 2L, K'\}$ .*

*Proof.* Use the transformation matrix  $T = \begin{pmatrix} x & \alpha \\ y & \beta \end{pmatrix}$ . □

**Lemma 3.3.** *Let  $K, L, D \in \mathbb{Z}$ . Then the following two assertions are equivalent:*

1. *There is a primitive binary quadratic form  $F$  with discriminant  $4D$  and there are  $x, y \in \mathbb{Z}$  such that  $F(x, y)$  is a representation of  $K$  and its characteristic number is  $L$ .*
2. *We have*

$$L^2 \equiv D \pmod{K}, \quad 0 \leq L < |K|, \quad \gcd\left(K, 2L, \frac{L^2 - D}{K}\right) = 1.$$

*Proof.* 1  $\Rightarrow$  2 The first two relations follow from Lemma 3.1. The last condition is satisfied because the form  $\{K, 2L, \frac{L^2 - D}{K}\}$  is equivalent to the primitive form  $F$  by Lemma 3.2.

2  $\Rightarrow$  1 Put  $K' = (L^2 - D)/K$ . It is an integer by the congruence condition.  $F = \{K, 2L, K'\}$  is a binary quadratic form, it is primitive by the last condition of 2. Clearly,  $F(1, 0)$  is a representation of  $K$ , taking  $\alpha = 0$  and  $\beta = 1$ , we see that its characteristic number is  $L$ . □

**Lemma 3.4.** *Let  $F, F'$  be binary quadratic forms of discriminant  $4D$ . Furthermore, let  $x, y, x', y' \in \mathbb{Z}$  such that  $F(x, y) = K = F'(x', y')$  are representations and  $L(F, x, y) = L = L(F', x', y')$ . Then we have  $F \sim F'$ .*

*Proof.* This is clear, since both forms are equivalent to the form  $\{K, 2L, K'\}$  by Lemma 3.2. □

**Lemma 3.5.** *Let  $F = \{a, 2b, c\}$  be a binary quadratic form,  $K, x, y \in \mathbb{Z}$  such that  $K = F(x, y)$  is a representation of  $K$  and  $L = L(F, x, y)$ . Then we have*

$$ax + by \equiv -Ly \pmod{K}.$$

*Proof.* This is (7) in the proof of Theorem 11.4.2 in HUA [4]. □

**Lemma 3.6.** *Let  $F = \{a, 2b, c\}$  be a primitive binary quadratic form of discriminant  $4D$  and  $K, x, y, x', y' \in \mathbb{Z}$  such that  $F(x, y) = K$  is a representation. Moreover assume that  $D$  is not a square and  $aK \neq 0$ . Then the following two conditions are equivalent*

1. *We have the representation  $K = F(x', y')$  and  $L(F, x, y) = L(F, x', y')$ .*
2. *There exist  $u, v \in \mathbb{Z}$  such that*

$$u^2 - Dv^2 = 1$$

and

$$ax' + by' + y'\sqrt{D} = (ax + by + y\sqrt{D})(u + v\sqrt{D}).$$

*Proof.* See HUA [4], Theorem 11.4.2. □

## 4 Composition of forms

We describe a group law on the set of equivalence classes of binary quadratic forms following CASSELS [3].

**Lemma 4.1.** *Let  $\mathcal{C}_1, \mathcal{C}_2$  be two equivalence classes of primitive forms of discriminant  $4D$ . Then there exist two forms  $F_j = \{a_j, 2b, c_j\} \in \mathcal{C}_j$  for  $j = 1, 2$  such that the middle coefficient is the same and  $\gcd(a_1, a_2) = 1$ .*

*Proof.* This is Lemma 2.3 of chapter 14 in CASSELS [3]. □

Let  $F_j \in \mathcal{C}_j$  be two forms as described in the above lemma. We define the composition of these two forms to be the form  $F = \{a_1 a_2, 2b, (b^2 - D)/(a_1 a_2)\}$ . This composition of forms gives a unique composition of form classes:

**Lemma 4.2.** *Let  $0 \neq D \in \mathbb{Z}$ . Let  $\mathcal{C}_1, \mathcal{C}_2$  be two classes of primitive forms of discriminant  $4D$ . Then there is a class  $\mathcal{C}$  such that the composition of  $f_j \in \mathcal{C}_j$ ,  $j = 1, 2$ , always lies in  $\mathcal{C}$ . In this case, we write  $\mathcal{C} = \mathcal{C}_1 \mathcal{C}_2$ .*

*Proof.* This is Lemma 2.4 of chapter 14 in CASSELS [3]. □

**Theorem 4.3.** *The composition of classes defined in the above lemma gives the set of primitive classes of binary quadratic forms of discriminant  $4D$  the structure of a finite abelian group. The neutral element of the group is the class containing all forms representing 1, denoted by  $\mathcal{E}$ .*

*Proof.* Theorem 2.1 of chapter 14 in CASSELS [3]. □

## 5 Representations of $k^n$

In this section we shall derive some properties of representations of  $k^n$ . Throughout this section,  $D$  and  $k$  will be fixed integers with  $\gcd(D, k) = 1$  and  $2 \nmid k$ . We will follow LE [7].

**Lemma 5.1.** *Let  $F$  be a binary quadratic form of discriminant  $4D$  and  $x, y, z \in \mathbb{Z}$  such that there is a representation  $k^z = F(x, y)$ . Let  $L = L(F, x, y)$ . Then there exists a unique  $l \in \mathbb{Z}$  such that*

$$\begin{aligned} L &\equiv \pm l \pmod{k} \\ l^2 &\equiv D \pmod{k}, \quad 0 < l < \frac{|k|}{2}, \quad \gcd\left(k, 2l, \frac{l^2 - D}{k}\right) = 1 \end{aligned} \quad (4)$$

We denote this unique  $l$  by  $l(F, x, y)$ .

*Proof.* By Lemma 3.3 we have

$$L^2 \equiv D \pmod{k}, \quad 0 < L < |k^z|, \quad \gcd\left(k^z, 2L, \frac{L^2 - D}{k^z}\right) = 1.$$

There is a unique  $l' \in \mathbb{Z}$  such that  $L \equiv l' \pmod{k}$  and  $0 < l' < |k|$ , hence we have  $l'^2 \equiv D \pmod{k}$ . Since we assumed  $\gcd(D, k) = 1$ , we have  $\gcd(k, 2l') = 1$ , hence  $\gcd(k, 2l', (l'^2 - D)/k) = 1$ . We define

$$l = \begin{cases} l' & \text{if } l' < |k|/2 \\ |k| - l' & \text{otherwise} \end{cases}$$

This unique  $l$  satisfies all assertions of the lemma. □

The following lemma shows that it is enough to know the characteristic number of a representation of  $k^n$  modulo  $k$ .

**Lemma 5.2.** *Let  $F_1, F_2$  be two binary quadratic forms of discriminant  $4D$ ,  $n \in \mathbb{N}$ . For  $i = 1, 2$  let  $x_i, y_i \in \mathbb{Z}$  such that  $F_1(x_1, y_1) = k^n = F_2(x_2, y_2)$  and  $l(F_1, x_1, y_1) = l = l(F_2, x_2, y_2)$ . Then we have*

$$L(F_2, x_2, y_2) \in \{L(F_1, x_1, y_1), |k|^n - L(F_1, x_1, y_1)\}.$$

*Proof.* For  $i = 1, 2$  write  $L_i = L(F_i, x_i, y_i)$ . By definition of  $l$  we have  $L_1 \equiv uL_2 \pmod{k}$ , where  $u = \pm 1$ . We claim that

$$L_1 \equiv uL_2 \pmod{k^n}.$$

Let  $k = \prod p_j^{\alpha_j}$  be the prime factor decomposition of  $k$ . By Lemma 3.1 we have

$$L_i^2 \equiv D \pmod{p_j^{n\alpha_j}}, \quad i = 1, 2.$$

By HUA [4], Theorem 2.9.3, the equations

$$X^2 \equiv D \pmod{p_j^{n\alpha_j}} \quad (5)$$

$$X^2 \equiv D \pmod{p_j} \quad (6)$$

have the same number of solutions, since  $X^2 - D \equiv 0 \pmod{p_j}$  and  $2X \equiv 0 \pmod{p_j}$  has no common solution since  $2 \nmid k$  and  $\gcd(D, k) = 1$ . Since (6) has exactly 2 solutions (namely  $\pm L_1$ ), the same is true for (5). Since  $L_1 \equiv uL_2 \pmod{p_j}$ , the same congruence holds  $\pmod{p_j^{n\alpha_j}}$ , which yields the assertion.  $\square$

**Lemma 5.3.** *Assume that  $D$  is a quadratic residue modulo  $k$ . Denote the number of distinct prime factors of  $k$  by  $\omega(k)$ . Then there are exactly  $2^{\omega(k)-1}$  integers  $l$  satisfying (4) .*

*Proof.* All solutions of (4) satisfy

$$l^2 \equiv D \pmod{k}, \quad 0 < l < |k|.$$

This congruence has  $2^{\omega(k)}$  solutions by HUA [4], Theorem 2.8.1, and Lemma 5.2, since it has one solution by assumption. Since  $2 \nmid k$ , the assertion of the lemma follows.  $\square$

**Lemma 5.4.** *1. Let  $F$  be a binary quadratic form and  $x, y \in \mathbb{Z}$  such that we have a representation  $F(x, y) = k$ ,  $\mathcal{C}_r$  the class of  $F$  and  $n \in \mathbb{N}$ . Then there are a form  $F'$  and integers  $x', y'$  such that we have a representation  $k^n = F'(x', y')$  satisfying  $L(F, x, y) \equiv L(F', x', y') \pmod{k}$  and  $\mathcal{C}_s = \mathcal{C}_r^n$ , where  $\mathcal{C}_s$  denotes the class of  $F'$ .*

*2. Conversely, if there are a form  $F'$  and integers  $x', y'$  such that  $k^n$  has a representation  $k^n = F'(x', y')$ , then there exist a form  $F$  and integers  $x, y$  such that we have a representation  $k = F(x, y)$  satisfying  $L(F, x, y) \equiv L(F', x', y') \pmod{k}$ , and  $\mathcal{C}_s = \mathcal{C}_r^n$ , where  $\mathcal{C}_s$  and  $\mathcal{C}_r$  denote the classes of  $F'$  and  $F$  respectively.*

*Proof.* 1. We proceed by induction on  $n$ . For  $n = 1$ , there is nothing to prove. For  $n > 1$ , we suppose that there exist  $F'', x'', y''$  such that  $k^{n-1} = F''(x'', y'')$  with characteristic number  $L'' = L(F'', x'', y'')$  satisfying  $L'' \equiv L \pmod{k}$ , where  $L := L(F, x, y)$  and  $F'' \in \mathcal{C}_r^{n-1}$ . By Lemma 3.2,  $F$  and  $F''$  are equivalent to the forms  $\{k, 2L, *\}$  and  $\{k^{n-1}, 2L'', *\}$  respectively, where  $*$  denotes some integer which is of no importance for us. By HUA [4], Theorem 2.9.3, — cf. the proof of Lemma 5.2 — there is a  $L'$  satisfying  $L'^2 \equiv D \pmod{k^n}$  and  $L' \equiv L'' \pmod{k^{n-1}}$  and  $L' \equiv L \pmod{k}$ . Hence we have  $q, r$  such that  $L' = L'' + rk^{n-1} = L + qk$ , hence transforming the above forms by  $\begin{pmatrix} 1 & q \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$  respectively, we see that  $F \sim \{k, 2L', *\}$  and  $F'' \sim \{k^{n-1}, 2L', *\}$ . The composition of these two forms is a form  $F' = \{k^n, 2L', \frac{L'^2 - D}{k^n}\}$ , which is therefore in the class  $\mathcal{C}_r^n$ , represents  $k^n = F'(1, 0)$ , and fulfills  $L' \equiv L \pmod{k}$  by construction.

2. Write  $L' = L(F', x', y')$ . Then there is a unique  $L \equiv L' \pmod{k}$ , which satisfies  $L^2 \equiv D \pmod{k}$ ,  $0 < L < |k|$  and  $\gcd(k, 2L, (L^2 - D)/k) = 1$  — see the proof of Lemma 5.1. By Lemma 3.3 we see that there are a form  $F$  and integers  $x, y$  such that there is a representation  $k = F(x, y)$  with  $L = L(F, x, y)$ . We still have to prove the assertion about the classes. By the first part of the lemma, there are a form  $F''$  and integers  $x'', y''$  such that we have a representation  $k^n = F''(x'', y'')$  with an  $L'' = L(F'', x'', y'')$  satisfying  $L'' \equiv L' \pmod{k}$ . By the proof of Lemma 5.2 this yields  $L'' = L'$ , hence by Lemma 3.4  $F'' \sim F'$ . Hence the class  $\mathcal{C}_s$  is that of  $F''$ , which is  $\mathcal{C}_r^n$  by the first part of the lemma.  $\square$

## 6 The diophantine equation $D_1X^2 - D_2Y^2 = k^Z$

Let  $D_1, D_2, k \in \mathbb{Z}$ ,  $D := D_1D_2$ ,  $\gcd(D_1, D_2) = 1$ ,  $\gcd(D, k) = 1$ ,  $2 \nmid k$ , and  $D$  non square. We are interested in the diophantine equation

$$D_1X^2 - D_2Y^2 = k^Z, \quad \gcd(X, Y) = 1, \quad Z > 0 \quad (7)$$

We will follow LE [7].

Clearly,  $F^* := D_1X^2 - D_2Y^2$  is a primitive form of discriminant  $4D$ . Each solution  $(x, y, z)$  of (7) is a representation

$$k^z = F^*(x, y). \quad (8)$$

By Lemma 5.1 we have a unique number  $l = l(F^*, x, y)$  associated to each solution. We define

$$S_l := \{(x, y, z) \text{ solution of (7)} : l = l(F^*, x, y)\}.$$

We will prove the following two theorems:

**Theorem 6.1.** *Let the notations be as described above. Let  $l$  be such that  $S_l \neq \emptyset$ .*

*Then there is a positive solution  $(x_l, y_l, z_l) \in S_l$  such that  $z_l \leq z$  for all  $(x, y, z) \in S_l$ . If there are integer solutions  $(u, v)$  to the equation*

$$D_1u^2 - D_2v^2 = 1, \quad (9)$$

*then we have  $z_l \mid h(4D)$ , otherwise, we have  $2z_l \mid h(4D)$ , where  $h(4D)$  denotes the number of classes of primitive binary quadratic forms of discriminant  $4D$ .*

**Theorem 6.2.** *Let the notations be as described above. Additionally, let  $D_1 = 1$ . Assume that (7) has a solution. Then all solutions of (7) can be put in exactly  $2^{\omega(k)-1}$  classes  $S_l$ , where  $\omega(k)$  denotes the number of distinct prime factors of  $k$ . For each solution class  $S_l$ , let  $(x_l, y_l, z_l)$  be a solution as described in Theorem 6.1. Then all solutions  $(x, y, z) \in S_l$  can be described as*

$$z = z_l t, \quad x + y\sqrt{D} = (x_l \pm y_l\sqrt{D})^t (u + v\sqrt{D}), \quad (10)$$

where  $t \in \mathbb{N}$  and  $(u, v)$  is a solution of

$$u^2 - Dv^2 = 1. \quad (11)$$

We denote the class of  $F^*$  by  $\mathcal{C}^*$ . Furthermore, we define

$$\begin{aligned} S_l^+ &= \{(x, y, z) \in S_l : D_1x \equiv -ly \pmod{k}\} \\ S_l^- &= \{(x, y, z) \in S_l : D_1x \equiv +ly \pmod{k}\}. \end{aligned}$$

Lemma 3.5 and Lemma 5.1 show that  $S_l^+ \cup S_l^- = S_l$ . Lemma 5.2 shows that all representations  $k^z = F^*(x, y)$  from  $S_l^+$  with  $z$  fixed have the same characteristic number  $L(F^*, x, y)$ .

**Lemma 6.3.** *We have*

$$|\mathcal{C}^*| = \begin{cases} 1, & \text{if (9) has solutions} \\ 2, & \text{otherwise.} \end{cases}$$

*Proof.* The form  $F^*$  is equivalent to the form  $\overline{F}^* = \{-D_2, 0, D_1\}$  via the transformation matrix  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ . The composition of  $F^*$  and  $\overline{F}^*$  is  $\{-D, 0, 1\}$ , so  $\mathcal{C}^{*2} = \mathcal{E}$  by Theorem 4.3, hence  $|\mathcal{C}^*| \in \{1, 2\}$ .

If (9) has a solution  $(u, v)$ , then we have  $\gcd(u, v) = 1$ , hence there are  $r, s \in \mathbb{Z}$  such that we have  $us - vr = 1$ , then we have

$$\begin{pmatrix} u & v \\ r & s \end{pmatrix} \begin{pmatrix} D_1 & 0 \\ 0 & -D_2 \end{pmatrix} \begin{pmatrix} u & r \\ v & s \end{pmatrix} = \begin{pmatrix} u^2D_1 - v^2D_2 & ruD_1 - svD_2 \\ ruD_1 - svD_2 & r^2D_1 - s^2D_2 \end{pmatrix},$$

hence  $\mathcal{C}^* = \mathcal{E}$  is the unit class. Conversely, if  $\mathcal{C}^*$  is the unit class, then 1 can be represented by  $F^*$ , hence we find a solution to (9) by the above calculation.  $\square$

**Lemma 6.4.** *Let  $l$  be a number satisfying (4) such that  $F_0 = \{k, 2l, *\}$  is a primitive binary form of discriminant  $4D$ . Let  $z \in \mathbb{N}$  and  $\mathcal{C}_0$  be the class of  $F_0$ . Then the following two assertions are equivalent:*

1. There are  $x, y$  such that  $(x, y, z) \in S_l^+$ .
2. We have  $\mathcal{C}_0^z = \mathcal{C}^*$ .

*Proof.*  $1 \Rightarrow 2$  Let  $(x, y, z) \in S_l^+$ . Then we have a representation  $k^z = F^*(x, y)$ . Write  $L = L(F^*, x, y)$ . By Lemma 5.4, there are a form  $F_1$  and integers  $x_1, y_1$  such that  $k = F_1(x_1, y_1)$ . Writing  $L_1 = L(F_1, x_1, y_1)$ , we have  $L_1 \equiv L \equiv l \pmod{k}$ , since  $(x, y, z) \in S_l^+$ . This yields  $L_1 = l$ . Hence  $F_1 \in \mathcal{C}_0$  by Lemma 3.4, and we have  $\mathcal{C}_0^z = \mathcal{C}^*$  by Lemma 5.4.

$2 \Rightarrow 1$  Clearly, we have a representation  $k = F_0(1, 0)$  with  $L(F_0, 1, 0) = l$ . By Lemma 5.4 there are a form  $F_1$ , integers  $x_1, y_1$  and a representation  $k^z = F_1(x_1, y_1)$  with  $L_1 := L(F_1, x_1, y_1) \equiv l \pmod{k}$ . By Lemma 5.4,  $F_1 \in \mathcal{C}_0^z = \mathcal{C}^*$ , i. e.  $F_1 \sim F^*$ , hence we can write

$$F_1 = \mathbf{X}^t \mathbf{T}^t \begin{pmatrix} D_1 & 0 \\ 0 & -D_2 \end{pmatrix} \mathbf{T} \mathbf{X}$$

with some  $\mathbf{T} \in \mathrm{SL}(2, \mathbb{Z})$ . Putting  $\begin{pmatrix} x \\ y \end{pmatrix} = \mathbf{T} \begin{pmatrix} x_1 \\ y_1 \end{pmatrix}$ , we have a representation  $F^*(x, y) = k^z$ . Let  $\alpha_1, \beta_1 \in \mathbb{Z}$  such that  $\beta_1 x_1 - \alpha_1 y_1 = 1$  and  $L_1 = \begin{pmatrix} \alpha_1 & \beta_1 \end{pmatrix} \mathbf{T}^t \begin{pmatrix} D_1 & 0 \\ 0 & -D_2 \end{pmatrix} \mathbf{T} \begin{pmatrix} x_1 \\ y_1 \end{pmatrix}$ . Put  $\begin{pmatrix} \alpha \\ \beta \end{pmatrix} := T \begin{pmatrix} \alpha_1 \\ \beta_1 \end{pmatrix}$ . Then we have

$$\begin{pmatrix} x & \alpha \\ y & \beta \end{pmatrix} = \mathbf{T} \begin{pmatrix} x_1 & \alpha_1 \\ y_1 & \beta_1 \end{pmatrix},$$

hence  $\beta x - \alpha y = 1$  and

$$\begin{pmatrix} \alpha & \beta \end{pmatrix} \begin{pmatrix} D_1 & 0 \\ 0 & -D_2 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = L_1,$$

hence  $L_1 = L(F^*, x, y)$  and  $(x, y, z) \in S_l^+$ . □

*Proof of Theorem 6.1.* There exists a  $(x_l^+, y_l^+, z_l^+) \in S_l^+$  such that  $z_l^+ \leq z$  for all  $(x, y, z) \in S_l^+$ . Since  $(x, y, z) \in S_l^+$  implies  $(x, -y, z) \in S_l^-$ , we have  $z_l^+ \leq z$  for all  $(x, y, z) \in S_l$ . Since  $(x, y, z) \in S_l^+$  is equivalent to  $(-x, y, z) \in S_l^-$ , we can choose a positive solution  $(x_l, y_l, z_l) \in S_l$  with  $z_l = z_l^+$ .

By Lemma 6.4 we see that  $z_l$  is the minimal  $z$  such that  $\mathcal{C}_0^z = \mathcal{C}^*$ . If (9) has solutions, then  $\mathcal{C}^*$  is the unit class by Lemma 6.3, hence  $z_l = |\mathcal{C}_0| \mid h(4D)$ . Otherwise,  $2z_l = |\mathcal{C}_0| \mid h(4D)$ . □

*Proof of Theorem 6.2.* Since there exists one solution to (4), there are  $2^{\omega(k)-1}$  solutions to (4) by Lemma 5.3. Fix one such solution  $l$ . Since (9) has obviously the solution  $(1, 0)$ , we have  $|\mathcal{C}^*| = 1$  by Lemma 6.3. So by Lemma 6.4, there is a solution  $(x_l, y_l, z_l) \in S_l$  such that  $z_l = |\mathcal{C}_0|$ . Still by Lemma 6.4 there is a solution  $k^z = F^*(x, y)$  if and only if there is a  $t \in \mathbb{N}$  such that  $z = z_l t$ . Without restriction, we assume that  $(x_l, y_l, z_l) \in S_l^+$ .

We consider now

$$z_t = z_l t, \quad x_t + y_t \sqrt{D} = (x_l + y_l \sqrt{D})^t.$$

Since  $D$  is not a square, this yields

$$x_t = \sum_{i=0}^{\lfloor t/2 \rfloor} \binom{t}{2i} D^i x_l^{t-2i} y_l^{2i}, \quad y_t = \sum_{i=0}^{\lfloor (t-1)/2 \rfloor} \binom{t}{2i+1} D^i x_l^{t-2i-1} y_l^{2i+1}$$

and

$$x_t \equiv 2^{t-1} x_l^t \pmod{k}, \quad y_t \equiv 2^{t-1} x_l^{t-1} y_l \pmod{k}.$$

For clearly  $x_t^2 - D y_t^2 = k^{z_t} = k^{z_l t}$ . Hence any prime  $q$  dividing  $\mathrm{gcd}(x_t, y_t)$  divides  $k$ , hence  $2^{t-1} x_l^t$ . Since  $2 \nmid k$ , we have  $q \mid x_l$ ,  $q \mid D y_l^2$ , hence  $q \mid y_l$  since  $\mathrm{gcd}(D, k) = 1$ . This is a contradiction to  $\mathrm{gcd}(x_l, y_l) = 1$ . Moreover,  $\mathrm{gcd}(k, y_t) = 1$ . Since  $x_t \equiv -l y_t \pmod{k}$ , we see that  $(x_t, y_t, z_t) \in S_l^+$ .

By Lemma 5.2 and Lemma 3.6, we get the representation (10), since  $(x_l, -y_l, z_l) \in S_l^-$ . □

## 7 The diophantine equation $x^2 + D = p^z$

In this section, we will prove Theorem 1.1.

The crucial point of the of TZANAKIS and WOLFSKILL [11], which is based on hypergeometric methods:

**Proposition 7.1.** *Let  $q > 0$  be an integer, not a square. Assume*

$$x^2 + \Delta = a^2 w,$$

where  $w$  is an odd power of  $q$ ,  $a$  is a positive integer and  $x, \Delta$  any integers. Let  $r, s$  be positive integers such that

$$a^2 w \geq |\Delta|^{2+s/r} \cdot 4^{1+s/r}$$

and define  $\nu$  by

$$w^\nu = 9a^2(81a^2w/4)^{r/s}.$$

Let  $N > w$  be an odd power of  $q$  and  $y$  any integer. Then

$$\left| \frac{y}{2\sqrt{N}} - 1 \right| > \frac{8}{2187a^5 w^{3+\nu/2}} \left( \frac{81a^2w}{4} \right)^{1/s} N^{-(1+\nu)/2}.$$

*Proof.* See TZANAKIS and WOLFSKILL [11], Theorem I.2. □

**Corollary 7.2.** *Let  $m$  be any integer and  $2 \nmid l$ . Then we have*

$$\left| \frac{m}{3^{l/2}} - 1 \right| > 3^{-51.2-0.961 \cdot l} \tag{12a}$$

$$\left| \frac{m}{23^{l/2}} - 1 \right| > 23^{-17.2-0.968 \cdot l} \tag{12b}$$

*Proof.* Using the above proposition with

$x$	$\Delta$	$q$	$w$	$a$	Equation	$r$	$s$	$N$	$y$	$\nu$
3788	-37	3	$3^{15}$	1	$3788^2 - 37 = 3^{15}$	2	3	$q^l$	$2m$	.9217
2537	-26	23	$23^5$	1	$2537^2 - 26 = 23^5$	2	3	$q^l$	$2m$	.9347,

we get the assertions for  $l > 15$  (resp.  $l > 5$ ). The remaining cases can be verified by direct calculation. □

We will need the following properties of  $p = 3, 23$  in the proof of our theorem:

1.  $p \equiv 3 \pmod{4}$  is a prime.
2. If  $u_0 + \sqrt{p}v_0$  is the fundamental solution of Pell's equation

$$u^2 - pv^2 = 1,$$

then  $p \nmid v_0$ .

For general  $p$ , this is an open question, see MORDELL [10] and BEACH, WILLIAMS, and ZARNKE [2].

3. We have an estimate such as (12) with  $\nu < 1$ .

A computer search in the range  $3 \leq p \leq 500$ ,  $p < w < p^{500}$  found only two primes with these properties, namely 3 and 23.

We need the following special case of *Waring's Formula* (see [9, Theorem 1.76])



**Lemma 7.3.** *Let  $X, Y$  be indeterminates over a ring  $R$  and  $t \in \mathbb{N}$ . Then we have*

$$X^t + Y^t = \sum_{i=0}^{\lfloor t/2 \rfloor} (-1)^i \frac{(t-i-1)!t}{(t-2i)!i!} (X+Y)^{t-2i} (XY)^i,$$

where the coefficients  $\frac{(t-i-1)!t}{(t-2i)!i!}$  are positive integers.

The following Lemma is a special case of Lemma 4 in LE [8]

**Lemma 7.4.** *Let  $p$  be an odd prime and  $u_1 + v_1\sqrt{p}$  the fundamental solution of Pell's equation  $u^2 - pv^2 = 1$  and  $(u, v)$  an arbitrary positive solution of the same equation. If  $p \nmid v_1$  and  $p^m \mid v$  for some  $m \in \mathbb{N}$ , then we have*

$$u + v\sqrt{p} = (u_1 + v_1\sqrt{p})^{p^m r}$$

for some  $r \in \mathbb{N}$ .

*Proof.* Since  $u_1 + v_1\sqrt{p}$  is the fundamental solution of the equation, we have a representation

$$u + v\sqrt{p} = (u_1 + v_1\sqrt{p})^t$$

for some  $t \in \mathbb{N}$ . This yields

$$v = \sum_{0 \leq 2l+1 \leq t} \binom{t}{2l+1} u_1^{t-2l-1} v_1^{2l+1} p^l.$$

Let  $k$  be maximal such that  $p^k \mid t$  and  $\beta_{2l+1}$  be maximal such that  $p^{\beta_{2l+1}} \mid 2l+1$  for  $0 \leq 2l+1 \leq t$ . We have  $\beta_{2l+1} \leq \log(2l+1)/\log p$ . If  $p \geq 5$  and  $l \geq 1$ , we have  $\beta_{2l+1} \leq l-1$ , since  $\log(2l+1)/\log p \leq \log(2l+1)/\log 5 \leq l-1$  for  $l \geq 2$  and  $\beta_3 = 0$ . If  $p = 3$ , we have similarly  $\beta_{2l+1} \leq l-1$  for  $l \geq 2$ .

Hence we have for  $l \geq 1$  and  $p \neq 3$

$$\binom{t}{2l+1} u_1^{t-2l-1} v_1^{2l+1} p^l = \frac{t}{2l+1} \binom{t-1}{2l} u_1^{t-2l-1} v_1^{2l+1} p^l \equiv 0 \pmod{p^{k-\beta_{2l+1}+l}}.$$

Since  $k - \beta_{2l+1} + l \geq k + 1$ , we see that  $v \equiv tu^{t-1}v_1 \pmod{p^{k+1}}$ , which yields  $p^m \mid t$ .

If  $p = 3$ , we have  $v \equiv tu_1^{t-1}v_1 \pmod{3}$ , hence  $t \equiv 0 \pmod{3}$ . By the argument of above, we see

$$v \equiv u_1^{t-3}t \left( u_1^2 + \frac{(t-1)(t-2)}{2} v_1^2 \right) \pmod{3^{k+1}}$$

Since  $u_1^2 + (t-1)(t-2)/2v_1^2 \equiv 2 \pmod{3}$  for  $t \equiv 0 \pmod{3}$ , we again have  $3^m \mid t$ .  $\square$

*Proof of Theorem 1.1.* Assume that (1) has a solution  $(x_0, z_0)$ . We consider the equation

$$X^2 + DY^2 = p^Z, \quad \gcd(X, Y) = 1, \quad Z > 0. \quad (13)$$

Obviously, it has the solution  $(x_0, 1, z_0)$ , hence by Theorem 6.2, it has one solution class and a solution  $(x_1, y_1, z_1)$  such that all solutions  $(x, y, z)$  of (13) can be described as

$$z = z_1 t, \quad x + y\sqrt{-D} = \pm(x_1 \pm y_1\sqrt{-D})^t,$$

since  $u^2 + Dv^2 = 1$  only has the solutions  $(\pm 1, 0)$  for  $D > 1$ . If  $D = 1$ , then (13) has no solution, since  $\left(\frac{-1}{p}\right) = -1$  for  $p \equiv 3 \pmod{4}$ . This yields for the solution  $(x_0, 1, z_0)$

$$\pm 1 = y_1 \left( \sum_{0 \leq 2l+1 \leq t} \binom{t}{2l+1} x_1^{t-2l-1} (\pm y_1)^{2l} (-D)^l \right),$$

hence  $y_1 = 1$  and  $(x_1, 1, z_1)$  is a solution of (1).

Assume now that we have at least two solutions  $(x, z)$  and  $(x_1, z_1)$  of (1). By the above discussion, we have

$$z = z_1 t, \quad x + \sqrt{-D} = \lambda_1(x_1 + \lambda_2 \sqrt{-D})^t, \quad \lambda_1, \lambda_2 \in \{\pm 1\}.$$

Moreover  $z_1 \geq 2$ , since we excluded some values of  $D$  in the formulation of the theorem. Putting  $\varepsilon = x_1 + \sqrt{-D}$  and  $\bar{\varepsilon} = x_1 - \sqrt{-D}$ , hence

$$\varepsilon + \bar{\varepsilon} = 2x_1, \quad \varepsilon - \bar{\varepsilon} = 2\sqrt{-D}, \quad \varepsilon\bar{\varepsilon} = p^{z_1} \quad (14)$$

we see that

$$\pm(\varepsilon^t - \bar{\varepsilon}^t) = \varepsilon - \bar{\varepsilon} = 2\sqrt{-D}. \quad (15)$$

Let  $\tau$  be the minimal  $t > 1$  such that (15) holds. Assume that  $\tau$  is not a prime,  $\tau = rs$ , where  $r, s > 1$ . Then

$$2\sqrt{-D} = (\varepsilon^r - \bar{\varepsilon}^r)m = m2\sqrt{-D} \sum_{0 \leq 2l+1 \leq r} \binom{r}{2l+1} x_1^{r-2l-1} (-D)^l,$$

where  $m$  is the integer

$$m = \sum_{k=0}^{s-1} \varepsilon^{rk} \bar{\varepsilon}^{r(s-1-k)},$$

which implies that already  $\pm(\varepsilon^r - \bar{\varepsilon}^r) = 2\sqrt{-D}$ . Therefore,  $\tau$  is a prime. Assume  $\tau = 2$ . Then we have

$$\pm 2\sqrt{-D} = (\varepsilon + \bar{\varepsilon})(\varepsilon - \bar{\varepsilon}) = 2x_1 2\sqrt{-D},$$

which is impossible. Therefore,  $\tau$  is an odd prime.

For any  $m \in \mathbb{N}$  we define  $E(m) = (\varepsilon^m - \bar{\varepsilon}^m)/(\varepsilon - \bar{\varepsilon})$ . By definition of  $\tau$ , we have  $E(\tau) = \pm 1$ . Since by (14) and Lemma 7.3

$$E(m) = \sum_{i=0}^{m-1} \varepsilon^i \bar{\varepsilon}^{m-1-i} \equiv \varepsilon^{m-1} + \bar{\varepsilon}^{m-1} \equiv (\varepsilon + \bar{\varepsilon})^{m-1} \equiv (2x_1)^{m-1} \pmod{p},$$

and since  $p \mid x_1$  implies  $p \mid D$ , we see that  $E(m) \neq 0$ . Clearly,  $E(m) \in \mathbb{Z}$  for all integers  $m$ .

Since  $E(\tau) = \pm 1$ , we have

$$E(\tau) = \left( E\left(\frac{\tau+1}{2}\right) \right)^2 - p^{z_1} \left( E\left(\frac{\tau-1}{2}\right) \right)^2 = \pm 1.$$

Since  $E(m) \neq 0$ , the case  $2 \mid z_1$  is impossible. A consideration mod 4 shows that this implies

$$E(\tau) = \left( E\left(\frac{\tau+1}{2}\right) \right)^2 - p^{z_1} \left( E\left(\frac{\tau-1}{2}\right) \right)^2 = 1, \quad (16)$$

since  $p \equiv 3 \pmod{4}$ . Hence

$$(u, v) := \left( \left| E\left(\frac{\tau+1}{2}\right) \right|, p^{(z_1-1)/2} \left| E\left(\frac{\tau-1}{2}\right) \right| \right)$$

is a solution of Pell's equation  $u^2 - pv^2 = 1$ . Let  $u_0 + v_0\sqrt{p}$  be its fundamental solution. For the primes under investigation, we have  $p \nmid v_0$ . By Lemma 7.4, there is a  $r \in \mathbb{N}$  such that

$$\left| E\left(\frac{\tau+1}{2}\right) \right| + p^{(z_1-1)/2} \left| E\left(\frac{\tau-1}{2}\right) \right| \sqrt{p} = (u_0 + v_0\sqrt{p})^{p^{(z_1-1)/2} r}. \quad (17)$$

Since for any positive integer  $m$ , we have

$$|E(m)| = \left| \sum_{i=0}^{m-1} \varepsilon^{m-1-i} \bar{\varepsilon}^i \right| \leq \sum_{i=0}^{m-1} |\varepsilon|^{m-1-i} |\bar{\varepsilon}|^i = mp^{z_1 \frac{m-1}{2}},$$

we see from (17) that

$$(u_0 + v_0 \sqrt{p})^{p^{(z_1-1)/2}} \leq \tau p^{z_1(\tau-1)/4}.$$

Assume now  $\tau \leq 2p^{(z_1-1)/2}/z_1$ . Then we get

$$z_1 \left( v_0 + \frac{u_0}{\sqrt{p}} \right)^{p^{(z_1-1)/2}} \leq 2p^{\frac{z_1-2}{4}}.$$

Since  $v_0 + u_0/\sqrt{p} \geq 2$ , we get

$$\log z_1 + p^{(z_1-1)/2} \log 2 \leq \frac{z_1-2}{4} \log p + \log 2.$$

This is impossible for  $z_1 \geq 3$ .

Therefore we have

$$z = z_1 \tau > 2p^{(z_1-1)/2}. \quad (18)$$

Furthermore, we have

$$\left| \frac{x}{p^{z/2}} - 1 \right| = \frac{D}{p^{z/2}(x + p^{z/2})} < \frac{D}{p^z}. \quad (19)$$

By Corollary 7.2 we have

$$p^{-\alpha_p - \beta_p z} < \left| \frac{x}{p^{z/2}} - 1 \right|,$$

where  $\alpha_3 = 51.2, \beta_3 = 0.961, \alpha_{23} = 17.2, \beta_{23} = 0.968$ . Together with (19) we have

$$p^{(1-\beta_p)z} < p^{\alpha_p} D.$$

Since  $D + x_1^2 = p^{z_1}$ ,  $D < p_1^z$  and we have

$$(1 - \beta_p)z < \alpha_p + z_1. \quad (20)$$

By (18)

$$(1 - \beta_p)2p^{(z_1-1)/2} < \alpha_p + z_1.$$

This yields  $z_1 \leq c_p$ , where  $c_3 = 13$  and  $c_{23} = 3$ .

By (16) and Lemma 7.3 we have

$$1 = E(\tau) = \sum_{i=0}^{(\tau-1)/2} \frac{(\tau-i-1)! \tau}{(\tau-2i)! i!} (-4D)^{(\tau-1)/2-i} p^{z_1 i}. \quad (21)$$

By (18) and (20) we have to check this equation for  $3 \leq z_1 \leq c_p$ ,  $D < p^{z_1}$  and  $\tau$  an odd prime satisfying

$$\frac{2p^{(z_1-1)/2}}{z_1} < \tau < \frac{1}{1-\beta_p} \left( 1 + \frac{\alpha_p}{z_1} \right).$$

The only solution of (21) is  $p = 3, z_1 = 3, D = 20, \tau = 3$ , which yields no solution, since there is no  $x_1$  such that  $x_1^2 + 20 = 27$ . This verification took 62 hours on a Alpha Workstation at 275 MHz. This is a contradiction to the assumption that there exist two solutions of (1).

By Theorem 6.1,  $z_1 \mid h(-4D)$ , by Proposition 2.2,  $h(-4D) \leq 4\sqrt{D} \log(2e\sqrt{D})/\pi$ . This completes the proof of the theorem.  $\square$

Remark that (2) enables us to find the only solution — if it exists — of (1) for a fixed  $D$  easily, even the constant is very reasonable. The following tables list all solutions  $(x, D, z)$  of (1) with  $z \geq 2$ ,  $1 \leq D \leq 100$  for  $p = 3, 23$ .

$D$	Equation	$D$	Equation
5	$2^2 + 5 = 3^2$	45	$22^2 + 45 = 23^2$
8	$1^2 + 8 = 3^2$	67	$110^2 + 67 = 23^3$
11	$4^2 + 11 = 3^3$	88	$21^2 + 88 = 23^2$
17	$8^2 + 17 = 3^4$		
23	$2^2 + 23 = 3^3$		
26	$1^2 + 26 = 3^3$		
32	$7^2 + 32 = 3^4$		
47	$14^2 + 47 = 3^5$		
53	$26^2 + 53 = 3^6$		
56	$5^2 + 56 = 3^4$		
65	$4^2 + 65 = 3^4$		
71	$46^2 + 71 = 3^7$		
74	$13^2 + 74 = 3^5$		
77	$2^2 + 77 = 3^4$		
80	$1^2 + 80 = 3^4$		
83	$140^2 + 83 = 3^9$		

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